SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Li Jianwei (Last) (First) (Middle) 437 MADISON AVENUE, 27TH FLOOR (Street) NEW YORK NY 10022 (City) (State) (Zip)	2. Issuer Name **and** Ticker or Trading Symbol TradeUP Global Corp [TUGC] 3. Date of Earliest Transaction (Month/Day/Year) 05/03/2021 4. If Amendment, Date of Original Filed (Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner X Officer (give title below) Other (specify below) Chief Financial Officer 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Ordinary Shares	05/03/2021		P		215,000	A	$10(1)(2)	215,000	D(4)	
Class A Ordinary Shares	05/03/2021		M		850,000	A	$0(1)(3)	1,065,000	D(4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Class B Ordinary Shares	(4)	05/03/2021		M			850,000(3)	(5)	(5)	Class A Ordinary Shares	850,000	$0	240,000(1)	D	

1. Name and Address of Reporting Person*   Li Jianwei   (Last) (First) (Middle)   437 MADISON AVENUE, 27TH FLOOR   (Street)   NEW YORK NY 10022   (City) (State) (Zip)
1. Name and Address of Reporting Person*   TradeUP Global Sponsor LLC   (Last) (First) (Middle)   C/O TRADEUP GLOBAL CORPORATION   437 MADISON AVENUE, 27TH FLOOR   (Street)   NEW YORK NY 10022   (City) (State) (Zip)

Explanation of Responses:

1. TradeUP Global Sponsor LLC ("Sponsor") holds (i) 1,065,000 Class A Ordinary Shares, par value $0.0001 per share (the "Class A Shares"), of TradeUP Global Corporation (the "Issuer") and (ii) 240,000 Class B Ordinary Shares, par value $0.0001 per share (the "Class B Shares"), of the Issuer. Jianwei Li is the manager of Sponsor.

2. On May 3, 2021 in connection with the Issuer's initial public offering, (i) Sponsor purchased from the Issuer 215,000 Class A Shares at a purchase price of $10.00 per share.

3. In connection with the closing of the Issuer's initial public offering, on May 3, 2021, 850,000 Class B Shares were converted into Class A Shares.

4. TradeUP Global Sponsor LLC is the record holder of the shares reported herein. Jianwei Li is the manager of TradeUP Global Sponsor LLC. As such, Mr. Li may be deemed to have beneficial ownership of the Class B ordinary shares held directly by TradeUP Global Sponsor LLC. Mr. Li disclaims beneficial ownership over any securities owned by our sponsor in which he does not have any pecuniary interest.

5. The Class B Shares will automatically convert into Class A Shares at the time of the Issuer's initial business combination on a one-for-one basis, subject to adjustment pursuant to certain anti-dilution rights, and has no expiration date.

/s/ Jianwei Li	05/05/2021
/s/ Jianwei Li, Title: Manager	05/05/2021
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.